

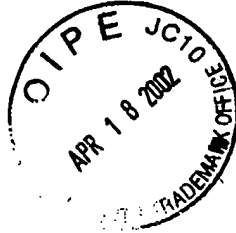


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/066,291	01/31/2002	1744	0.00	96606/01UTL	9	24	4

ROBERT W. STROZIER
SUITE 930
2925 BRIARPARK DRIVE
HOUSTON, TX 77042



CONFIRMATION NO. 2032

FILING RECEIPT



OC000000007542533

Date Mailed: 02/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ian Tunnicliffe, Residence Not Provided;
Raymond Mt. Joy, Residence Not Provided;

RECEIVED

MAR 05 2002

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/265,825 02/01/2001

Foreign Applications

If Required, Foreign Filing License: Granted 02/26/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

DOCKETED
BY [Signature] DATE 3/1/02
FOR ACTION ON FOLLOWING DATE

Title

Critical and supercritical cleaning of hydrocarbon-containing materials

Preliminary Class

015

LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



04-19-02

Receipt #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: **Tunncliffe, et.al.**

§ ART UNIT NO.: 1744

SERIAL NO.: **10/066,291**

§ EXAMINER:

FILED: **01/31/2002**

§ DOCKET NO.: 00017/01UTL

§

TITLE: **Critical and Supercritical Cleaning
of Hydrocarbon-Containing Materials**

§

§

§

EV 008607485 US Express Mail Number	CERTIFICATE OF MAIL BY EXPRESS MAIL	April 18, 2002 Date of Deposit
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the:		
Assistant Commissioner of Patent		
BOX MISSING PARTS		
Robert W. Strozier	Washington, D.C. 20231	April 18, 2002 Date of Signature

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

Dear Sir:

The Applicant hereby responds to the **Notice to File Missing Parts of the Nonprovisional Application of February 27, 2002**, and submits the following documents:

- (1) **Copy of Notice to File Missing Parts of the Nonprovisional Application** included with a **check** in the amount of **\$513** for the filing fee; and:
- (2) **A Declaration with Power of Attorney**; and,
- (3) **A Statement Asserting Entitlement to Small Entity Status**; and
- (4) **Post Card.**

Respectfully submitted,

Date: **April 18, 2002**

Robert W. Strozier, Reg. No. 34,024
Attorney for Applicants

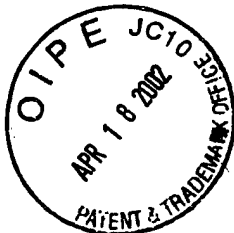


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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,291	01/31/2002	Ian Tunnicliffe	96606/01UTL

ROBERT W. STROZIER
SUITE 930
2925 BRIARPARK DRIVE
HOUSTON, TX 77042



CONFIRMATION NO. 2032

FORMALITIES LETTER



OC00000007542534

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/22/2002 BABRAHA1 00000052 10066291

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	36.00 OP
04 FC:202	42.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$156.
 - \$72 for 4 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1026.

A copy of this notice **MUST** be returned with the reply.

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Margaret
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT

DOCKETED MAR 05 2002
BY *[Signature]* DATE *3/11/02*
FOR ACTION ON FOLLOWING DATES:

ROBERT W. STROZIER, P.E.L.C.

A FIRM SPECIALIZING IN INTELLECTUAL PROPERTY LAW INCLUDING
PATENT, TRADEMARK, COPYRIGHT, TRADE SECRET LAW,
UNFAIR COMPETITION AND RELATED MATTERS

2925 BRIARPARK, SUITE 930
HOUSTON, TX 77042
TELEPHONE: 713/977-7000
TELECOPIER: 713/977-7011

April 18, 2002

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner of Patent
Washington, CD 20231-9999

RE: ^Δ **00017/01UTL**
Title: Critical and SuperCritical Cleaning of Hydrocarbon -
Containing Materials

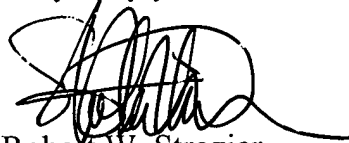
Dear Sir/Madam:

Please correct the Attorney Docket Number of the Official Filing Receipt to:

00017/01UTL

If you have any questions or comments, please do not hesitate to call me

Very truly yours,



Robert W. Strozier

RWS/sls
Enclosure
cc: